Context-Free Grammars

A Quick Update

Context-Free Grammars

A Motivating Question

	python3
>>>	











```
python3
>>> (137 + 42) - 2 * 3
173
>>> (60 + 37) + 5 * 8
137
>>> (200 / 2) + 6 / 2
103.0
>>>
```



(<u>26</u> + <u>42</u>) * <u>2</u> + <u>1</u> Int Op Int Op Int Op Int







Expr

Expr

What can an arithmetic expression be?

int Expr

What can an arithmetic expression be?

int

A single number.

Expr

What can an arithmetic expression be?

int

A single number.

Expr

What can an arithmetic expression be?



What can an arithmetic expression be?







What can an arithmetic expression be?







What can an arithmetic expression be?



What can an arithmetic expression be?



What can an arithmetic expression be?

+ int int × Expr **Op Expr Op** Expr

What can an arithmetic expression be?

int + int × int **Op Expr Op Expr** Expr

What can an arithmetic expression be?

Expr

What can an arithmetic expression be?

Expr

What can an arithmetic expression be?

int Expr Op Expr (Expr)



What can an arithmetic expression be?

int (Expr)



What can an arithmetic expression be?

int (Expr)



What can an arithmetic expression be?

int (Expr)





int (Expr)




int (Expr)



What can an arithmetic expression be?

int (Expr)



What can an arithmetic expression be?

int (Expr)

(int / ()) Expr Op Expr

What can an arithmetic expression be?

(Expr)

(int / ()) Expr Op Expr

What can an arithmetic expression be?

int (Expr)

(int / ()) Expr Op Expr

What can an arithmetic expression be?

(Expr)



What can an arithmetic expression be?

int (Expr)



What can an arithmetic expression be?

int (Expr)



What can an arithmetic expression be?

int (Expr)



What can an arithmetic expression be?

int (Expr)



What can an arithmetic expression be?

int (Expr)

A *context-free grammar* (or *CFG*) is a recursive set of rules that define a language.

(There's a bunch of specific requirements about what those rules can be; more on that in a bit.)

• Here's how we might express the recursive rules from earlier as a CFG.



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Expr \rightarrow **int**

```
Expr \rightarrow Expr Op Expr
```

```
Expr \rightarrow (Expr)
```

 $\mathbf{Op} \rightarrow \mathbf{+}$

 $\mathbf{Op} \rightarrow -$

 $\mathbf{Op} \to \mathbf{x}$

Op → **/**

Expr ⇒ Expr Op Expr ⇒ Expr Op int ⇒ int Op int

 \Rightarrow int / int

• Here's how we might express the recursive rules from earlier as a CFG.

Expr \rightarrow **int**

```
\mathbf{Expr} \to \mathbf{Expr} \ \mathbf{Op} \ \mathbf{Expr}
```

```
Expr \rightarrow (Expr)
```

 $\mathbf{Op} \rightarrow \mathbf{+}$

 $\mathbf{Op} \rightarrow -$

$\mathbf{Op} \to \mathbf{x}$

Op → **/**

```
Expr

=> Expr Op Expr

=> Expr Op int

=> int Op int

=> int / int
```

These red symbols are called **nonterminals**. They're placeholders that get expanded later on.

• Here's how we might express the recursive rules from earlier as a CFG.

Expr \rightarrow **int**

```
Expr → Expr Op Expr
```

 $Expr \rightarrow (Expr)$

 $\mathbf{Op} \rightarrow \mathbf{+}$

 $\mathbf{Op} \rightarrow -$

$\mathbf{Op} \to \mathbf{x}$

Op → **/**

Expr ⇒ Expr Op Expr ⇒ Expr Op int

```
⇒ int Op int
```

```
\Rightarrow int / int}
```

The symbols in blue monospace are **terminals**. They're the final characters used in the string and never get replaced.

• Here's how we might express the recursive rules from earlier as a CFG.

Expr \rightarrow **int**

```
Expr → Expr Op Expr
```

```
Expr \rightarrow (Expr)
```

 $\mathbf{Op} \rightarrow \mathbf{+}$

Op → -

 $Op \to \mathsf{x}$

Op → /

Expr

- ⇒ Expr Op Expr
- ⇒ Expr Op (Expr)
- ⇒ Expr Op (Expr Op Expr)
- ⇒ Expr × (Expr Op Expr)
- ⇒ int × (Expr Op Expr)
- ⇒ int × (int Op Expr)
- \Rightarrow int × (int **Op** int)
- \Rightarrow int × (int + int)

Context-Free Grammars

- Formally, a context-free grammar is a collection of four items:
 - a set of *nonterminal symbols* (also called *variables*),
 - a set of *terminal symbols* (the *alphabet* of the CFG),
 - a set of *production rules* saying how each nonterminal can be replaced by a string of terminals and nonterminals, and
 - a *start symbol* (which must be a nonterminal) that begins the derivation. By convention, the start symbol is the one on the left-hand side of the first production.

Expr \rightarrow int Expr \rightarrow Expr Op Expr Expr \rightarrow (Expr) Op \rightarrow + Op \rightarrow -Op \rightarrow × Op \rightarrow /

Some CFG Notation

- In today's slides, capital letters in **Bold Red Uppercase** will represent nonterminals.
 - e.g. **A**, **B**, **C**, **D**
- Lowercase letters in **blue monospace** will represent terminals.
 - e.g. **t**, **u**, **v**, **w**
- Lowercase Greek letters in *gray italics* will represent arbitrary strings of terminals and nonterminals.
 - e.g. *α*, *γ*, *ω*
- You don't need to use these conventions on your own; just make sure whatever you do is readable.

A Notational Shorthand

Expr \rightarrow int Expr \rightarrow Expr Op Expr Expr \rightarrow (Expr) Op \rightarrow + Op \rightarrow -Op \rightarrow \times Op \rightarrow /

A Notational Shorthand

Expr \rightarrow intExpr Op Expr(Expr)Op \rightarrow +-×/

Derivations

Expr

- ⇒ Expr Op Expr
- ⇒ Expr Op (Expr)
- ⇒ Expr Op (Expr Op Expr)
- ⇒ Expr × (Expr Op Expr)
- ⇒ int × (Expr Op Expr)
- ⇒ int × (int Op Expr)
- \Rightarrow int × (int **Op** int)
- \Rightarrow int × (int + int)

- A sequence of zero or more steps where nonterminals are replaced by the right-hand side of a production is called a *derivation*.
- If string $\boldsymbol{\alpha}$ derives string $\boldsymbol{\omega}$, we write $\boldsymbol{\alpha} \Rightarrow^* \boldsymbol{\omega}$.
- In the example on the left, we see that

Expr \Rightarrow^* int × (int + int).

Expr \rightarrow int | Expr Op Expr | (Expr)Op \rightarrow + | - | × | /

The Language of a Grammar

 If G is a CFG with alphabet Σ and start symbol S, then the *language of G* is the set

$\mathscr{L}(G) = \{ \boldsymbol{\omega} \in \Sigma^* \mid \mathbf{S} \Rightarrow^* \boldsymbol{\omega} \}$

• That is, $\mathcal{L}(G)$ is the set of strings of terminals derivable from the start symbol.

If G is a CFG with alphabet Σ and start symbol **S**, then the *language of* G is the set

$$\mathscr{L}(G) = \{ \boldsymbol{\omega} \in \Sigma^* \mid \mathbf{S} \Rightarrow^* \boldsymbol{\omega} \}$$

Consider the following CFG G over $\Sigma = \{a, b, c, d\}$: $Q \rightarrow Qa \mid dH$ $H \rightarrow bHb \mid c$ Which of the following strings are in $\mathcal{L}(G)$? dca dccad

> bcb dHaa

Answer at https://cs103.stanford.edu/pollev

Context-Free Languages

- A language *L* is called a *context-free language* (or CFL) if there is a CFG *G* such that $L = \mathcal{L}(G)$.
- Questions:
 - How are context-free and regular languages related?
 - How do we design context-free grammars for context-free languages?

Context-Free Languages

A language *L* is called a *context-free language* (or CFL) if there is a CFG *G* such that $L = \mathcal{L}(G)$.

Questions:

• How are context-free and regular languages related?

How do we design context-free grammars for context-free languages?

Five Possibilities



- CFGs consist purely of production rules of the form $\mathbf{A} \rightarrow \boldsymbol{\omega}$. They do not have the regular expression operators * or U.
- You can use the symbols \ast and \cup if you'd like in a CFG, but they just stand for themselves.
- Consider this CFG *G*:

$S \rightarrow a*b$

• Here, $\mathcal{L}(G) = \{a*b\}$ and has cardinality one. That is, $\mathcal{L}(G) \neq \{a^nb \mid n \in \mathbb{N}\}.$

- **Theorem:** Every regular language is context-free.
- **Proof idea:** Show how to convert an arbitrary regular expression into a context-free grammar.

a (b U ε) c

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$$S \rightarrow aXc$$
$$X \rightarrow b \mid \epsilon$$

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(a U b) ² c *
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$$S \rightarrow XY$$
$$X \rightarrow ZZ$$
$$Z \rightarrow a \mid b$$



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$$X \rightarrow ZZ$$
$$Z \rightarrow a \mid b$$
$$Y \rightarrow cY \mid \epsilon$$



Two Five Possibilities



• Consider the following CFG *G*:

 $S \rightarrow aSb \mid \epsilon$

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• Consider the following CFG *G*:

 $S \rightarrow aSb \mid \epsilon$

• What strings can this generate?

 $\mathscr{L}(G) = \{ a^n b^n \mid n \in \mathbb{N} \}$





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Time-Out for Announcements!

Problem Set Seven

- Problem Set Six was due today at 1:00PM.
 - You can extend the deadline to Saturday at 1:00PM using a late day.
- Problem Set Seven goes out today. It's due next Friday at 1:00PM.
 - It's all about regular expressions, properties of regular languages, and gives a first glimpse at nonregular languages.
 - We've tuned the length given that you have a midterm next Monday.
Second Midterm Logistics

- Our second midterm exam is next *Monday, November 11th* from 7PM - 10PM. Check the website for your seating assignment; note that it has changed since the first midterm.
- Topic coverage is primarily lectures 06 13 (functions through induction) and PS3 – PS5. Finite automata and onward won't be tested here.
 - Because the material is cumulative, topics from PS1 PS2 and Lectures 00 – 05 are also fair game.
- The exam is closed-book and closed-computer. You can bring one double-sided $8.5'' \times 11''$ sheet of notes with you.
- Students with OAE accommodations: you should have heard from us with alternate exam locations. If you haven't, contact us ASAP.

Our Advice

- **Stay fed and rested.** You are not a brain in a jar. You are a rich, complex, beautiful human being. Please take care of yourself.
- **Read all questions before diving into them.** You don't have to go sequentially. Read over each problem so you know what to expect, then pick whichever one looks easiest and start there.
- **Reflect on how far you've come.** How many of these questions would you have been able to *understand* two months ago? That's the mark that you're learning something!





Three Questions

- What's something you know now that, at the start of the quarter, you knew you didn't know?
- What's something you know now that, at the start of the quarter, you *didn't* know you didn't know?
- What's something you don't know now that, at the start of the quarter, you didn't know you didn't know?

Back to CS103!

- Like designing DFAs, NFAs, and regular expressions, designing CFGs is a craft.
- When thinking about CFGs:
 - *Think recursively:* Build up bigger structures from smaller ones.
 - *Have a construction plan:* Know in what order you will build up the string.
 - *Store information in nonterminals:* Have each nonterminal correspond to some useful piece of information.
- Check our online "Guide to CFGs" for more information about CFG design.
- We'll hit the highlights in the rest of this lecture.

- Let $\Sigma = \{a, b\}$ and let $L = \{w \in \Sigma^* \mid w \text{ is a palindrome }\}$
- We can design a CFG for *L* by thinking inductively:
 - Base case: ε, a, and b are palindromes.
 - If $\boldsymbol{\omega}$ is a palindrome, then **a** $\boldsymbol{\omega}$ **a** and **b** $\boldsymbol{\omega}$ **b** are palindromes.
 - No other strings are palindromes.

 $\textbf{S} \rightarrow \textbf{\epsilon} ~|~ \textbf{a} ~|~ \textbf{b} ~|~ \textbf{aSa} ~|~ \textbf{bSb}$

- Let $\Sigma = \{\{,\}\}$ and let $L = \{w \in \Sigma^* \mid w \text{ is a string of balanced braces }\}$
- Some sample strings in *L*:

- Let $\Sigma = \{\{,\}\}$ and let $L = \{w \in \Sigma^* \mid w \text{ is a string of balanced braces }\}$
- Let's think about this recursively.
 - Base case: the empty string is a string of balanced braces.
 - Recursive step: Look at the closing brace that matches the first open brace.

{{}}}}{{}}}

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${\{{}}{}}{\{{}}\}{\{{}}}{\{{}}}{\{{}}}{\{{}}}{\{{}}}{\{{}}\}{\{{}}}{\{{}}\}{\{{}}}{\{{}}}{\{{}}\}{\{{}}}{\{{}}}{\{{}}}{\{{}}}{\{{}}\}{\{{}}}{\{{}}}{\{{}}\}{\{{}}\}{\{{}}\}{\{{}}}{\{{}}}{\{{}}}{\{{}}\}{\{{}}$

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- Let $\Sigma = \{\{,\}\}$ and let $L = \{w \in \Sigma^* \mid w \text{ is a string of balanced braces }\}$
- Let's think about this recursively.
 - Base case: the empty string is a string of balanced braces.
 - Recursive step: Look at the closing brace that matches the first open brace. Removing the first brace and the matching brace forms two new strings of balanced braces.

$$S \rightarrow \{S\}S \mid \varepsilon$$

Which of these CFG	s have language <i>L</i> ?
$S \rightarrow aSb \mid bSa \mid \epsilon$	$S \rightarrow abS \mid baS \mid \varepsilon$
$S \rightarrow abSba baSab \epsilon$	$S \rightarrow SbaS \mid SabS \mid \epsilon$
	Answer at







Which of these CFGs have language <i>L</i> ?	
$S \rightarrow aSb \mid bSa \mid \epsilon$	$S \rightarrow abS \mid baS \mid \varepsilon$
$S \rightarrow abSba \mid baSab \mid \epsilon$	S → SbaS SabS ε

Designing CFGs: A Caveat

- When designing a CFG for a language, make sure that it
 - generates all the strings in the language and
 - never generates a string outside the language.
- The first of these can be tricky make sure to test your grammars!
- You'll design your own CFG for this language on Problem Set 8.

CFG Caveats II

• Is the following grammar a CFG for the language { $a^n b^n \mid n \in \mathbb{N}$ }?

$\mathbf{S} \rightarrow \mathbf{aSb}$

- What strings in $\{a, b\}^*$ can you derive?
 - Answer: **None!**
- What is the language of the grammar?
 - Answer: Ø
- When designing CFGs, make sure your recursion actually terminates!

- When designing CFGs, remember that each nonterminal can be expanded out independently of the others.
- Let $\Sigma = \{a, \stackrel{?}{=}\}$ and let $L = \{a^n \stackrel{?}{=} a^n \mid n \in \mathbb{N}\}$.
- Is the following a CFG for L?
 S → X²=X
 X → aX | ε

$$S$$

$$\Rightarrow X \stackrel{?}{=} X$$

$$\Rightarrow aX \stackrel{?}{=} X$$

$$\Rightarrow aaX \stackrel{?}{=} X$$

$$\Rightarrow aa \stackrel{?}{=} X$$

$$\Rightarrow aa \stackrel{?}{=} X$$

$$\Rightarrow aa \stackrel{?}{=} aX$$

$$\Rightarrow aa \stackrel{?}{=} a$$

Finding a Build Order

- Let $\Sigma = \{a, \stackrel{?}{=}\}$ and let $L = \{a^n \stackrel{?}{=} a^n \mid n \in \mathbb{N}\}$.
- To build a CFG for *L*, we need to be more clever with how we construct the string.
 - If we build the strings of a's independently of one another, then we can't enforce that they have the same length.
 - **Idea:** Build both strings of **a**'s at the same time.
- Here's one possible grammar based on that idea:

S → ≟ | aSa

S $\Rightarrow aSa$ $\Rightarrow aaSaa$ $\Rightarrow aaaSaaa$ $\Rightarrow aaaSaaa$ $\Rightarrow aaaSaaa$

Function Prototypes

- Let $\Sigma = \{$ void, int, double, name, (,), ,, ; $\}$.
- Let's write a CFG for C-style function prototypes!
- Examples:
 - void name(int name, double name);
 - int name();
 - int name(double name);
 - int name(int, int name, int);
 - void name(void);

Function Prototypes

- Here's one possible grammar:
 - $S \rightarrow Ret name (Args);$
 - Ret \rightarrow Type | void
 - **Type** \rightarrow int | double
 - Args $\rightarrow \epsilon$ | void | ArgList
 - ArgList -> OneArg | ArgList, OneArg
 - OneArg -> Type | Type name
- Fun question to think about: what changes would you need to make to support pointer types?

Summary of CFG Design Tips

- Look for recursive structures where they exist: they can help guide you toward a solution.
- Keep the build order in mind often, you'll build two totally different parts of the string concurrently.
 - Usually, those parts are built in opposite directions: one's built left-to-right, the other right-to-left.
- Use different nonterminals to represent different structures.

Applications of Context-Free Grammars

CFGs for Programming Languages

BLOCK \rightarrow **STMT** | { **STMTS** }

- EXPR → identifier | constant | EXPR + EXPR | EXPR - EXPR | EXPR * EXPR

Grammars in Compilers

- One of the key steps in a compiler is figuring out what a program "means."
- This is usually done by defining a grammar showing the high-level structure of a programming language.
- There are certain classes of grammars (LL(1) grammars, LR(1) grammars, LALR(1) grammars, etc.) for which it's easy to figure out how a particular string was derived.
- Tools like yacc or bison automatically generate parsers from these grammars.
- Curious to learn more? *Take CS143!*

Natural Language Processing

- By building context-free grammars for actual languages and applying statistical inference, it's possible for a computer to recover the likely meaning of a sentence.
 - In fact, CFGs were first called *phrase-structure grammars* and were introduced by Noam Chomsky in his seminal work *Syntactic Structures*.
 - They were then adapted for use in the context of programming languages, where they were called *Backus*-*Naur forms*.
- The <u>Stanford Parser</u> project is one place to look for an example of this.
- Want to learn more? Take CS124 or CS224N!

Next Time

- No class Monday.
- Then, when we get back...
 - Turing Machines
 - What does a computer with unbounded memory look like?
 - How would you program it?